IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Linwood Hugh Overby, Jr.

Application Serial No.: To Be Assigned

Filed: Concurrently Herewith

For: SELECTIVELY RESPONDING TO INTRUSIONS BY COMPUTERS

EVALUATING INTRUSION NOTICES BASED ON LOCAL INTRUSION

DETECTION SYSTEM POLICY

September 22, 2003

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

David K. Purks

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Fox 1450, Alexandria, VA 22313-1450.

Audra Wooten

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office LIST OF DOCUMENTS CITED BY APPLICANT					Attorney Docket Number 5577-284			Serial No. To Be Assigned
(Use several sheets if necessary)					Applicants: Linwood Hugh Overby, Jr.			
					Filing Date: Concurrently Herewith			Group: Unknown
U. S. PATENTS & PATENT APPLICATION PUBLICATIONS								
Examiner Initial		Document Number	Date	Name		Class	Subclass	Filing Date if Appropriate
	1	5,832,228	11-03-98	Holden et al.		395	200.55	
	2	6,272,538	08-07-01	Holden et al.		709	223	
	3	6,321,338	11-20-01	Porras et al.		713	201	
	4	6,460,141	10-01-02	Olden		713	201	:
	5	6,542,508	04-01-03	Lin		370	395.43	
	6	2003/0023874	01-30-03	Prokupets et al.		713	201	:
	7	2003/0051026	03-13-03	Carter et al.		709	224	
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FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country		Class	Subclass	Translation Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	8	Petkac et al.; Security Agility in Response to Intrusion Detection; IEEE No. 1063-9527/00; 2000; Pages 11 - 20						
	9	K. Boudaoud et al; Network Security Management with Intelligent Agents; IEEE No. 0-7803-5864; 2000, Pages 579 – 592						
	10	Ragsdale et al.; Adaptation Techniques for Intrusion Detection and Intrusion Response Systems; IEEE No. 0-7803-6583; June 2000; Pages 2344 - 2349						